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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Agere Systems Inc.Application No./Patent No.: 09/444,818Filed/Issue Date: November 22, 1999Methods and Apparatus for Identification and Purchase of Broadcast Digital Music and Other Types of
Entitled: InformationAgere Systems Inc., a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Inventor(s) To: Lucent Technologies Inc.
The document was recorded in the United States Patent and Trademark Office at
Reel 010402, Frame 0196, or for which a copy thereof is attached.
2. From: Lucent Technologies Inc. To: Agere Systems Guardian Corp.
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: Agere Systems Guardian Corp. To: Agere Systems Inc.
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

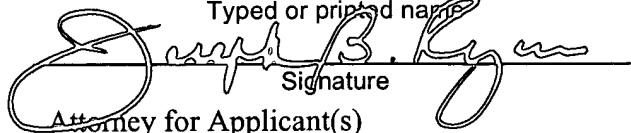
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

September 24, 2002

Date

Joseph B. Ryan, Reg. No. 37,922

Typed or printed name



Signature

Attorney for Applicant(s)

Title



EXECUTION COPY

PATENT ASSIGNMENT

by and between

LUCENT TECHNOLOGIES INC.

and

AGERE SYSTEMS GUARDIAN CORP.

Dated as of January 30, 2001

PATENT ASSIGNMENT

THIS PATENT ASSIGNMENT (this "Assignment"), effective as of January 30, 2001 (the "Effective Date"), is by and between Lucent Technologies Inc., a Delaware corporation, with offices at 600 Mountain Avenue, Murray Hill, New Jersey 07974, United States of America, ("ASSIGNOR") and Agere Systems Guardian Corp., a Delaware corporation, with offices at 555 Union Boulevard, Allentown, PA 18109, United States of America ("Agere Systems Guardian").

RECITALS

A. WHEREAS, the Board of Directors of ASSIGNOR has determined that it is in the best interests of ASSIGNOR and its stockholders to separate ASSIGNOR's existing businesses into two independent businesses;

B. WHEREAS, ASSIGNOR presently owns or controls certain patents, patent applications, and invention submissions listed in the attached Appendices A and B (hereinafter "TRANSFERRED PATENTS") and;

C. WHEREAS, in furtherance of the foregoing separation, ASSIGNOR desires to transfer, assign, convey, deliver and vest all of its interests and rights in TRANSFERRED PATENTS for all countries, jurisdictions and political entities of the world, to and in Agere Systems Guardian;

NOW, THEREFORE, in consideration of the premises and for other good and valid consideration, the receipt and sufficiency of which are hereby acknowledged, the parties, intending to be legally bound, agree as follows:

ASSIGNOR, subject to existing rights and licenses of third parties, does hereby assign, convey, transfer and deliver, and agrees to assign, convey, transfer and deliver to Agere Systems Guardian, its successors, assigns and legal representatives or nominees, ASSIGNOR's entire right, title and interest, for all countries, jurisdictions and political entities of the world, along with the right to sue for past infringement, to all TRANSFERRED PATENTS listed on Appendices A and B, and corresponding counterpart foreign patents and patent applications, with respect to which, and to the extent to which, ASSIGNOR now has or hereafter acquires the right to so assign, convey, transfer and deliver. Agere Systems Guardian recognizes that ASSIGNOR holds only bare legal title to the TRANSFERRED PATENTS listed in Appendix A (which lists the United States Patents and patent applications previously exclusively licensed to Lucent Technologies Microelectronics Guardian Corp.).


ASSIGNOR and ASSIGNEE recognize that the patents listed in Appendices A and B may inadvertently include patents that are owned by various subsidiaries of ASSIGNOR, including Agere, Inc., Ortel Corporation, Optimay Corporation, Herrmann Technology, Inc., and Enable Semiconductor, Inc. Ownership of such patents shall not be affected by this Patent

Assignment, and ASSIGNEE agrees that any such patents shall be deemed deleted from Appendices A and B.

ASSIGNOR agrees that, upon request it will, at any time without charge to Agere Systems Guardian, but at Agere Systems Guardian's expense, furnish all necessary documentation relating to or supporting chain of title, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for vesting title to TRANSFERRED PATENTS in Agere Systems Guardian, its successors, assigns and legal representatives or nominees; including but not limited to any acts which may be necessary, desirable or convenient for claiming said rights and for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in Agere Systems Guardian and its respective successors, assigns and legal representatives or nominees.

IN WITNESS WHEREOF, the parties have caused this PATENT ASSIGNMENT to be executed by their duly authorized representatives as of the Effective Date.

LUCENT TECHNOLOGIES INC.

By: 
Daniel P. McCurdy
President, Intellectual Property Business

AGERE SYSTEMS GUARDIAN CORP.

By: 
Fred M. Romano
President

ACKNOWLEDGMENTS

STATE OF NEW JERSEY)

: ss:

COUNTY OF SOMERSET)

I CERTIFY that on January 30, 2001, Daniel P. McCurdy personally came before me and this person acknowledged under oath, to my satisfaction that:
a.) this person signed, sealed and delivered the attached Patent Assignment as President - Intellectual Property Business of Lucent Technologies Inc.; and
b.) this Patent Assignment was signed and made by Lucent Technologies Inc. as its voluntary act and deed by virtue of authority from its Board of Directors.



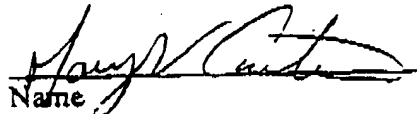
Name TAMORA ANNE HANNA
Notary Public Notary Public of New Jersey
My Commission Expires ~~Registered in Hunterdon County~~
[Notarial Seal] My Commission Expires March 25, 2002

STATE OF FLORIDA)

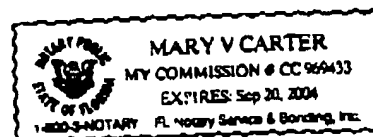
: ss:

COUNTY OF ORANGE)

I CERTIFY that on January 31, 2001, Fred M. Romano personally came before me and this person acknowledged under oath, to my satisfaction that:
a.) this person signed, sealed and delivered the attached Patent Assignment as Vice President of Agere Systems Guardian Corp.; and
b.) this Patent Assignment was signed and made by Agere Systems Guardian Corp. as its voluntary act and deed by virtue of authority from its Board of Directors.



Name
Notary Public
My Commission Expires:
[Notarial Seal]



APPENDIX B (continued)
Transferred Patents

Case Name	Filing Date	Issue Date	Patent No.	Serial No.
Comerford 7-13-2	12/16/1998			09/212533
Comino 8-1	5/28/1997	6/13/2000	6075820	
Costigan 1-1	6/3/1998	7/18/2000	6090534	
Coult 13-27-2-4-8-2-1	10/28/1999			09/429327
Coult 8-13-4	4/29/1998		09/069396	
Crevasse 10-1-4-2	7/15/1999	8/1/2000	6097484	09/353860
Crevasse 1-10-4-1	10/8/1997	3/7/2000	6033293	
Crevasse 11-24-10-3-6	1/25/1999	5/9/2000	6059638	09/237082
Crevasse 13-35-19-20-13	7/6/1999			09/348926
Crevasse 14-38-24-17	8/19/1999			09/377580
Crevasse 15-3	8/27/1999			09/303829
Crevasse 16-42-28-21	7/20/1999			09/357405
Crevasse 17-44-30-23	7/20/1999			09/356716
Crevasse 18-49-35-28	10/22/1999			09/425433
Crevasse 19-50-36-29	9/28/1999			09/407463
Crevasse 20-51-37-30	10/1/1999			09/410548
Crevasse 21-58-44-37	10/8/1999			09/415126
Crevasse 22-60-45-38	8/31/1999			09/386262
Crevasse 23-64-49-42	11/23/1999			09/447884
Crevasse 24-67-51-120-44	10/26/1999			09/427306
Crevasse 25-71-55-48	10/22/1999			09/426226
Crevasse 26-73-56-49	4/21/2000			09/553931
Crevasse 27-74-57-50	4/21/2000			09/553938
Crevasse 28-75-58-122-51	3/29/2000			09/537132
Crevasse 29-76-59-123-52	2/1/2000			09/496115
Crevasse 30-28-11-5	11/29/1999			09/450485
Crevasse 31-79-31-60-55	1/11/2000			09/481236
Crevasse 3-21-1-10	10/7/1999			09/414353
Crevasse 32-80-61-56	1/25/2000			09/490912
Crevasse 33-81-62-57	3/21/2000			09/531250
Crevasse 34-82-63-58	1/5/2000			09/477833
Crevasse 36-84-65-60-2	5/3/2000			09/564659
Crevasse 38-86-67-62	3/22/2000			09/532688
Crevasse 39-87-68-63	9/19/2000		09/665279	
Crevasse 40-88-69-64	3/31/2000			09/541792
Crevasse 4-15	5/1/1998		09/071012	
Crevasse 41-89-70-65-4	7/27/2000		09/626523	
Crevasse 42-90-71-66	8/3/2000		09/632404	
Crevasse 44-92-68	8/29/2000		09/649654	
Crevasse 5-17-14-13-10	9/21/1999			09/400406
Crevasse 5-17-4	9/14/1998		09/152752	
Crevasse 6-18-5-1-3	8/28/1998		09/143010	
Crevasse 7-2-19-6	8/18/1998	6/27/2000	6080671	
Crevasse 8-21-8	12/14/1998			09/211267
Crisafulli 1-19	11/16/1999			09/441076
Crisafulli 3	4/20/2000			09/553282
Criscuolo 1-30	7/7/2000			09/611844
Crispell 2	5/6/1998	5/2/2000	6057700	
Crispell 3	9/16/1998		09/154291	
Crispell 5-1	3/10/2000			60/188516
Cruceta 1-1-1	4/11/2000			09/547295
Cruceta 2-2-2	4/18/2000			09/551795
Cunningham 40-67	5/22/1998		09/083165	
Cupo 12-5-1-1	3/30/1998		09/049985	
Cupo 14-1-7-3	10/13/1998			09/170174
Cupo 19-3-5	1/14/2000			09/483725
Cupo 21-18-6	8/30/1999			09/386092
Curtin 10	11/22/1999			09/444818
Curtin 11-48-14	1/21/2000			09/489467
Curtin 12	4/11/2000			09/547381

CERTIFICATE OF OWNERSHIP AND MERGER

OF

Agere Systems Guardian Corp.
(a Delaware corporation)

INTO

Agere Systems Inc.
(a Delaware corporation)

**UNDER SECTION 253 OF THE GENERAL
CORPORATION LAW OF THE STATE OF DELAWARE**

Agere Systems Inc., a corporation organized and existing under the laws of Delaware ("Corporation"), **DOES HEREBY CERTIFY:**

FIRST: The Corporation is the owner of all of the outstanding shares of common stock of Agere Systems Guardian Corp., which is also a business corporation of the State of Delaware.

SECOND: On August 22, 2002 the Subsidiary Governance Committee of the Board of Directors of the Corporation adopted the following resolution to merge Agere Systems Guardian Corp. into the Corporation:

RESOLVED that Agere Systems Guardian Corp., a Delaware corporation, shall be merged with and into Agere Systems Inc., a Delaware corporation, with Agere Systems Inc. being the surviving corporation, and Agere Systems Inc. shall thereupon assume all of the obligations of Agere Systems Guardian Corp."

THIRD: That the merger authorized hereby shall become effective as of 9:00 a.m. Eastern Standard Time on August 31, 2002.

Executed on August 22, 2002

AGERE SYSTEMS INC.

By Paul Bento
Paul Bento, Vice President

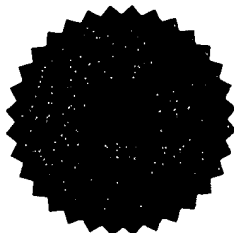
The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF OWNERSHIP, WHICH MERGES:

"AGERE SYSTEMS GUARDIAN CORP.", A DELAWARE CORPORATION,
WITH AND INTO "AGERE SYSTEMS INC." UNDER THE NAME OF "AGERE SYSTEMS INC.", A CORPORATION ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE, AS RECEIVED AND FILED IN THIS OFFICE THE TWENTY-NINTH DAY OF AUGUST, A.D. 2002, AT 9 O'CLOCK A.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF OWNERSHIP IS THE THIRTY-FIRST DAY OF AUGUST, A.D. 2002.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.



Harriet Smith Windsor

Harriet Smith Windsor, Secretary of State

3268412 8100M

AUTHENTICATION: 1959517

020545223

DATE: 08-29-02